

FORM PTO-1390
REV. 5-93

US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEYS DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

P05,0033

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/524225

INTERNATIONAL APPLICATION NO.
PCT/DE2003/002658

INTERNATIONAL FILING DATE
August 7, 2003

PRIORITY DATE CLAIMED
August 9, 2002

TITLE OF INVENTION: "METHOD, ARRANGEMENT AND COMPUTER PROGRAM PRODUCT FOR ANALYZING NEURONAL ACTIVITIES"
(AS AMENDED)

APPLICANT(S) FOR DO/EO/US GUSTAVO DECO and NORBERT GALM

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **Executed**
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report).
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
A SECOND or SUBSEQUENT preliminary amendment.
14. ☒ A substitute specification. **Marked up version of Substitute Specification**
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - a. ☒ **Submission of Drawings for Publication**
 - b. ☒ **EXPRESS MAIL # Mailed EL843731548US.**
 - c. ☐

| | | | | | | | | |
|---|--|--------------|--|--|------------------|--------------------------------------|--------------|--|
| U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/524225 | | | | INTERNATIONAL APPLICATION NO. PCT/DE2003/002658 | | ATTORNEY'S DOCKET NUMBER P05,0033 | | |
| 17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): (Search Report has been prepared by the EPO or JPO) \$1000.00 (Includes National Stage, Search, and Examination Fees) <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div> | | | | | CALCULATIONS | | PTO USE ONLY | |
| | | | | | | | | |
| | | | | | \$1000.00 | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). | | | | | | | | |
| Claims | | Number Filed | | Number Extra | | Rate | | |
| Total Claims | | 14-20 = | | 0 | | X \$50.00 | | |
| Independent Claims | | 3-3 = | | 0 | | X \$200.00 | | |
| Multiple Dependent Claims | | | | \$300.00 + | | | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | | \$1000.00 | | |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28) | | | | | | | | |
| SUBTOTAL = | | | | | | \$1000.00 | | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | \$00 | | |
| TOTAL NATIONAL FEE = | | | | | | \$1000.00 | | |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property | | | | | | SEE SEPARATE ENVELOPE | | |
| TOTAL FEES ENCLOSED = | | | | | | \$1000.00 | | |
| | | | | | | Amount to be refunded | | |
| | | | | | | charged | | |
| a. <input checked="" type="checkbox"/> A check in the amount of \$1000.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501519 . A duplicate copy of this sheet is enclosed. | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: Schiff Hardin LLP Patent Department 6600 Sears Tower Chicago, Illinois 60606-6473 Customer Number 26574 | | | | <div style="text-align: center;"> SIGNATURE </div> <div style="text-align: center;"> Steven H. Noll NAME </div> <div style="text-align: center;"> 28,982 Registration Number </div> | | | | |

MAIL STOP PCT
IN THE UNITED STATES DESIGNATED OFFICE
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNDER THE PATENT COOPERATION TREATY-CHAPTER II
SUBMISSION OF DRAWINGS FOR PUBLICATION

5 APPLICANTS: Deco et al.
ATTORNEY DOCKET NO. P05,0033
INTERNATIONAL APPLICATION NO: PCT/DE2003/002658
INTERNATIONAL FILING DATE: August 7, 2003
10 INVENTION: "METHOD, ARRANGEMENT AND COMPUTER
PROGRAM PRODUCT FOR ANALYZING NEURONAL
ACTIVITIES" (AS AMENDED)

Commissioner for Patents
P.O. Box 1450
15 Alexandria, Virginia 22313-1450

S I R:

Applicants herewith submit two sheets (Figs. 1 and 2) of drawings for the
application, in a form suitable for publication purposes.

Submitted by,

20 Steven H. Noll (Reg. 28,982)

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10/524225
01 Rec'd PCT/PTC 09 FEB 2005
CERTIFICATION OF MAILING

"Express Mail" Mailing Label Number **EL843731548US**

Date of Deposit: February 9, 2005

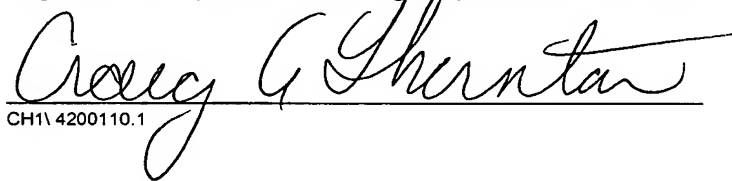
I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Commissioner for Patents, MAIL STOP PCT, P.O. Box 1450, Alexandria VA 22313-1450.

Deco et al.

Application entitled: **"METHOD, ARRANGEMENT AND COMPUTER PROGRAM PRODUCT FOR ANALYZING NEURONAL ACTIVITIES" (AS AMENDED)**

Our Case No. P05,0033 (26965-3361)

Signature of person mailing application and fee


CH1\ 4200110.1